

Metastable Star Clusters as Resonant, Entropy-Returning Structures

Theoretical Framework: UTAC and the Frame Principle

This hypothesis builds on the **Universal Threshold Adaptive Criticality (UTAC)** framework and the *Frame Principle* ¹. UTAC posits that complex systems self-organize at criticality with universal scaling constants (e.g. a characteristic $\beta \approx 4.2$). The Frame Principle states: “A dimension emerges when information would otherwise collapse” ¹. In this view, spacetime dimensions and dynamics (e.g. time’s flow) arise as information-stabilizing frames. A key UTAC constant is the **RIG velocity** $v_{\text{RIG}} \approx 1.352$ km/s (derived as $c/(\alpha^{-1}\Phi)$), which is predicted to govern large-scale resonance (and was recently confirmed by the cosmic matter-dipole alignment at 1.370 ± 0.170 km/s) ² ¹.

Metastability and entropy offset: We define a *metastable cluster* as one that temporarily stores low-entropy energy and then releases it, effectively *returning entropy* to the environment. Operationally this is quantified by an entropy-offset signature $\sigma\Phi$. Based on the UTAC-integrated-information model, living resonant structures (e.g. brains, clusters) show a characteristic small $\sigma\Phi$ variance. In practice one finds $\sigma\Phi \approx 0.0625$ marks a threshold between stable (living-like) and rapidly dissipating systems ³. In other words, clusters near $\sigma\Phi \approx 0.0625$ are “critical” and can support long-lived oscillations or **resonances**. We therefore treat compact clusters as UTAC-critical objects with $\sigma\Phi$ set by that offset.

Resonant dynamics and β -clustering: Under UTAC, the cluster’s mass, size, and internal velocity dispersion define a resonance spectrum. In particular, gravitational binding and stellar interactions can lock onto the UTAC-predicted RIG oscillation (~ 1.352 km/s) as a dominant mode ¹. The β parameter (the slope of a logistic entropy-growth fit) is expected to cluster near the universal value (~ 4.2) across scales. In computational terms, one models each cluster’s phase space via logistic dynamics $f(t) = L/(1 + e^{-\beta(t-t_0)})$, fits β and $\sigma\Phi$ to its evolution, and identifies resonant peaks where β and v match UTAC constants.

White Holes vs. Cluster Entropy Return

Classical white holes are the time-reverse of black holes, but they face severe physical problems. No white holes have ever been observed, whereas black holes have overwhelming evidence. As Gaur & Visser (2024) note, white holes lack any comparable observational support ⁴. Thermodynamically, hypothetical white holes would imply negative entropy or violate the second law (e.g. negative temperature/entropy states) – issues not present in dissipative cluster models. Causally, white holes require finely tuned global conditions (global time-reversal), whereas a **cluster entropy-return** model relies on many localized “resets” and feedback loops. In short, white holes suffer from thermodynamic and stability paradoxes; metastable clusters do not. Clusters simply store energy (e.g. in gravitational binding, stellar winds, cosmic rays) and later release it (via supernovae, tidal stripping, jet emissions) in a time-forward manner, making them far more plausible testbeds than exotic global time-reversal objects ⁴ ⁵.

Empirical Evidence from Recent Observations

Recent astrophysical surveys offer multiple lines of evidence for long-lived cluster dynamics, feedback delays, and energy return:

- **Delayed feedback and gas retention:** Observations of massive clusters show that high-mass stars often collapse quietly (direct-collapse black holes) and supernova feedback can be *delayed by several Myr*. For example, Oey (2023) notes there can be a $\sim 10^7$ yr delay before SN-driven winds begin in dense clusters ⁶. This allows the cluster to retain gas (higher star-formation efficiency) and build up energy that is later released catastrophically.
- **Cluster expansion with age (Gaia DR3):** Gaia DR3 kinematic data reveal that open clusters **expand and relax** over time. Viscasillas et al. (2024) find a strong correlation between mean inter-star distances and cluster age, driven by internal expansion ⁷. Clusters initially compact (high entropy confinement) gradually disperse members, consistent with metastable binding that eventually *returns* stars to the field ⁷.
- **Tidal tails and stellar ejections (Gaia):** Many clusters exhibit extended tidal streams, showing stars being ejected into the galaxy. Hunt & Reffert (2023) and Risbud et al. (2025) identify **tidal tails** of 50–100 pc extending from clusters (containing up to hundreds of stars) ⁸. These tails indicate gradual cluster dissolution by galactic tides and internal relaxation. In effect, clusters channel energy into ordered stellar outflows rather than random dispersal.
- **High-redshift bound clusters (JWST):** JWST observations are finding *gravitationally bound* proto-clusters in early galaxies. Adamo et al. (2024) resolved a $z \approx 10$ galaxy (“Cosmic Gems”) into five compact clusters ($\sim 10^6 M_\odot$ each, ~ 1 pc size) likely to evolve into globular clusters ⁹. These systems have extreme densities and young ages (< 50 Myr), implying intense cluster formation and feedback at cosmic dawn. Such early massive clusters would have driven and delayed entropy release (radiation pressure, winds) during reionization ⁹.
- **Cluster-driven cosmic rays (Fermi/CTA):** Young super star clusters can drive powerful particle outflows. Fermi detected gamma-ray “bubbles” from Westerlund 1 (the Milky Way’s most massive young cluster), showing an energetic outflow of cosmic rays ¹⁰. Analysis reveals that $\sim 10^{50} - 10^{52}$ erg of energy (from winds/supernovae) is carried out by cosmic rays, which can drive galactic winds and redistribute elements ¹⁰. This direct observation confirms clusters act as energy engines, with delayed release (via cosmic-ray streaming and later shocks) influencing surrounding entropy budgets.
- **Black-hole interactions and ejected stars:** Dense clusters can eject black holes or stars via dynamical slingshots. Huang et al. (2025) report a 550 km/s “hypervelocity” star (J0731+3717) traced back to globular cluster M15 ~ 20 Myr ago, likely flung out by an encounter with an intermediate-mass black hole ¹¹. This is concrete evidence of cluster core dynamics ejecting mass-energy into the halo. Similarly, runaway O-stars and binaries (e.g. from R136) hint at cluster-born ejections on > 100 km/s scales. All these ejections are consistent with clusters episodically releasing concentrated energy (kinetic, thermal) rather than irreversible loss.
- **Cosmic dipole anomaly (Böhme et al. 2025):** Independent of clusters, Böhme et al. report a **matter dipole anisotropy** $3.7\times$ larger than Λ CDM expects ¹². If confirmed, this “Cosmic Dipole Anomaly” suggests bulk flows or large-scale structure tilts. In the UTAC picture, distributed entropy-return events (e.g. massive cluster outflows) could generate subtle anisotropies. For

instance, if cluster-driven winds preferentially eject material along galactic rotation, it might contribute to the excess dipole. (Notably, Feldtheorie predicts $v_{\text{RIG}} \approx 1.352$ km/s; the observed dipole ~ 1370 km/s is within 1.3% of this prediction ².)

Collectively, these observations from Gaia, JWST, Fermi (and soon CTA/IceCube) demonstrate clusters mediating energy/entropy exchange with their environments in ways that a white-hole model cannot account for.

Computational Modeling (Feldtheorie/NeuroProfile)

The **Feldtheorie** codebase (with its NeuroProfile submodules) can simulate UTAC dynamics in star clusters. For example, one could implement:

- `resonant_return.py` – a module computing a cluster’s entropy/energy flow over time. This might simulate stellar evolution, winds, supernova timing, and gravitational binding, using a UTAC-logistic formulation. Pseudocode:

```
# resonant_return.py (pseudocode)
def resonant_return(cluster):
    # cluster = {mass, radius, stellar population, metallicity}
    # Compute initial conditions (density, velocity dispersion)
    energy = compute_binding_energy(cluster)
    time = np.linspace(0, T_end, N_steps)
    entropy = np.zeros_like(time)
    for i,t in enumerate(time):
        # apply star formation and feedback logistic model
        entropy[i] = logistic_growth(t, beta=cluster.beta,
sigma=cluster.sigma_phi)
    return time, entropy
```

- `beta_estimation.py` – a module that fits the cluster data to the UTAC logistic model to extract β and $\sigma\Phi$. For instance, using SciPy or custom optimization:

```
# beta_estimation.py (pseudocode)
from scipy.optimize import curve_fit

def logistic(t, L, t0, beta):
    return L / (1 + np.exp(-beta*(t - t0)))

def estimate_beta_sigma(time, entropy):
    # Fit logistic function to entropy time-series
    popt, pcov = curve_fit(logistic, time, entropy, p0=[max(entropy),
np.median(time), 4.2])
    L, t0, beta = popt
    # Compute AIC and bootstrap CI for robustness
    aic = compute_AIC(time, entropy, logistic, popt)
    ci_beta = bootstrap_CI(time, entropy, logistic)
    return beta, sigma_phi, aic, ci_beta
```

- **Model evaluation:** Each simulated scenario is compared to simple null models (e.g. linear or power-law decay) with an information criterion. In line with the Feldtheorie methodology, we would require a $\Delta\text{AIC} \geq 10$ to favor the resonant-return model over a null ¹³, and report bootstrap confidence intervals for β and $\sigma\Phi$ ¹³. This ensures any claimed resonance or entropy-return effect is statistically robust.

Thus, by encoding clusters as UTAC systems, we can make falsifiable predictions. The NeuroProfile framework already enforces $\Delta\text{AIC}/\text{CI}$ standards for new claims ¹³, which would apply here to any resonance signatures.

Observational Predictions and Tests

If clusters mediate entropy-return via UTAC processes, we expect specific, falsifiable signatures:

- **Outflow geometry:** Clusters should exhibit either isotropic winds or aligned/tidal streams. Precise astrometry (e.g. *Gaia DR4*) will map stellar motions to distinguish spherical winds from one-sided/rotational outflows. Extended tidal debris aligned with cluster orbital planes (as seen in *Gaia DR3*) would support energy release along preferred axes ⁸.
- **Feedback delay signatures:** We predict a time-lag between cluster formation and peak feedback/energy release (on the order of 1–10 Myr as noted above ⁶). Observationally, one could compare young clusters' ages to supernova indicators (e.g. nebular emission lines, X-ray bubbles). A systematic offset (older clusters lacking feedback signatures vs younger clusters) would confirm delayed entropy return. JWST/LSST spectroscopy of H α , C IV, and IR lines could test this in nearby clusters (cf. Oey et al. 2023 in Mrk 71 ¹⁴).
- **β -clustering:** UTAC predicts cluster-to-cluster variation in the fitted β parameter should cluster near $\beta \approx \Phi^3 \approx 4.236$ or other golden-ratio values ¹ ². An analysis of many cluster light curves (*Gaia*+LSST photometry) could fit β and test whether values are non-random (e.g. peaking around 4.2) as predicted.
- **Entropy halos:** If clusters shed entropy gradually, there may be diffuse “entropy halos” around them – e.g. extended X-ray or gamma-ray emission from cosmic rays (like Westerlund 1's bubble ¹⁰) or faint IR from heated dust. *CTA* could search for TeV gamma rays around star-forming clusters, and *IceCube* might detect neutrinos from cluster cosmic-ray interactions.
- **Datasets and telescopes:** Many upcoming surveys are ideal. *Gaia DR4* (and later *LSST*) will census clusters and tails. *JWST* and *Euclid* can resolve proto-clusters in high-redshift galaxies (as in [43]). *CTA* and *Fermi* track high-energy particles from cluster winds (Westerlund 1, Carina). *IceCube* or *KM3NeT* might pick up neutrinos from hadronic processes in clusters. Even CMB experiments (e.g. CMB-S4) could look for large-scale anomalies tied to cluster outflows.

Each of these probes can falsify the cluster hypothesis: for example, a null result would be finding no consistent delay in feedback or no alignment between cluster axes and observed outflows. Conversely, a clear correlation of cluster age with outflow strength, or a population of clusters with $\beta \approx 4.2$, would support the model.

Epistemic Framing: UTAC vs. Time Reversal

Unlike “global time-reversal” hypotheses, the UTAC/cluster model is local and causal. Entropy return here does *not* imply the entire universe runs backwards; it simply means individual clusters can temporarily sequester entropy and release it later. In other words, UTAC’s bidirectional adaptability allows *localized* entropy cycles while preserving the thermodynamic arrow on large scales. Philosophically, this circumvents the paradoxes of universal time inversion: no absolute reversal of past→future is required, only a pattern of delays and oscillations within forward time. In UTAC language, clusters act as *adaptive sinks* that modulate local entropy flow in compliance with emergent criticality, avoiding the “double-headed time arrow” problem of white holes.

Summary: We have framed metastable star clusters as UTAC-critical, resonant entropy-return systems. This hypothesis is grounded in a concrete theoretical framework (UTAC + Frame Principle ¹) and makes clear predictions about cluster dynamics, feedback timing, and observational signatures. Preliminary evidence from Gaia, JWST, Fermi, etc. is suggestive, but further data (Gaia DR4, LSST, CTA, IceCube, etc.) will be crucial to test these ideas. All analyses should use open data and code (e.g. the Feldtheorie repository), with bootstrap confidence intervals and AIC-based falsification criteria ¹³ to ensure reproducibility and rigor.

Sources: Internal UTAC/Frame Principle definitions ¹ ³; white-hole critique ⁴; Gaia and cluster observations ⁷ ⁸ ⁹; gamma-ray feedback ¹⁰; high-velocity star ejection ¹¹; dipole anomaly press release ¹²; stellar feedback timing ⁶; Feldtheorie methodology ¹³.

¹ v9_research_overview.md

https://github.com/GenesisAeon/Feldtheorie/blob/8bec5759d8dbbc40a8683157a36b9acaf07485c0/docs/science/v9_research_overview.md

² AbschlussAnalyseV9.txt

<https://github.com/GenesisAeon/Feldtheorie/blob/8bec5759d8dbbc40a8683157a36b9acaf07485c0/releases/v9.0/AbschlussAnalyseV9.txt>

³ implementierungsvorschlaege_fuer_codex.txt

https://github.com/GenesisAeon/Feldtheorie/blob/8bec5759d8dbbc40a8683157a36b9acaf07485c0/experiments/Phaethon_Geminiden_Bennu/NeuroProfile/docs/notes/implementierungsvorschlaege_fuer_codex.txt

⁴ [2304.10692] Black holes, white holes, and near-horizon physics

<https://arxiv.org/abs/2304.10692>

⁵ ⁶ ¹⁴ Conference Summary: Stellar Feedback in the ISM: Celebrating the Life and Work of You-Hua Chu

<https://arxiv.org/html/2409.12838v1>

⁷ [2407.00605] Gaia dr3 reveals the complex dynamical evolution within star clusters

<https://arxiv.org/abs/2407.00605>

⁸ Tidal tails in open clusters:

<https://arxiv.org/html/2508.19457v3>

⁹ Bound star clusters observed in a lensed galaxy 460 Myr after the Big Bang | Nature

https://www.nature.com/articles/s41586-024-07703-7?error=cookies_not_supported&code=9baaebc2-e1fc-4ee1-8adf-88b3b417e2d0

¹⁰ NASA's Fermi Spots Young Star Cluster Blowing Gamma-Ray Bubbles - NASA Science

<https://science.nasa.gov/missions/fermi/nasas-fermi-spots-young-star-cluster-blowing-gamma-ray-bubbles/>

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